

04 - 21 - 04

ATTORNEY DOCKET NO:  
064731.0339

SERIAL NO.  
10/627,548

1



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Yasuhiko (nmi) Aoki et al.  
Serial No.: 10/627,548  
Filing Date: July 25, 2003 **BEST AVAILABLE COPY**  
Group Art Unit: Unknown  
Title: SYSTEM AND METHOD FOR COMMUNICATING  
OPTICAL TRAFFIC BETWEEN RING NETWORKS  
NETWORK

Commissioner For Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Dear Sir:

Certificate of Mailing by  
Express Mail No. EV 324642890 US  
I hereby certify that this correspondence is being  
deposited with the United States Postal Service  
"Express Mail Post Office to Addressee" under  
37. C.F.R. 1.10 on the date shown below, and  
addressed to Commissioner for Patents, P.O. Box  
1450, Alexandria, Virginia 22313-1450.

Name: Willie Jiles  
Willie Jiles

Date: April 20, 2004

**INFORMATION DISCLOSURE STATEMENT**

Under 37 C.F.R. §§ 1.56, .97, and .98, Applicants respectfully request that the references listed in the attached PTO-1449 form be considered and cited in the examination of the Application. The present Application was filed after June 30, 2003. Therefore, under the July 11, 2003, waiver of 37 C.F.R. § 1.98(a)(2)(i) by the U.S. Patent and Trademark Office, copies of U.S. Patents and U.S. Patent Application Publications listed in the attached PTO-1449 form are not enclosed. Copies of all other references are enclosed for the convenience of the Examiner.

**BEST AVAILABLE COPY**

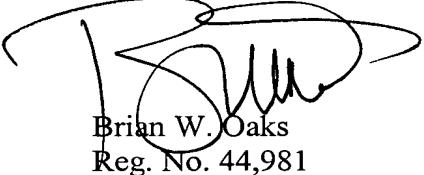
**REMARKS**

Pursuant to 37 C.F.R. § 1.97(b)(3), Applicants believe this Information Disclosure Statement has been filed before the mailing date of the first Official Action. Therefore, Applicants believe no fee is due. However, if a fee is required, the Commissioner is hereby authorized to charge any fees or credit any overpayments to Deposit Account No. 02-0384 of Baker Botts L.L.P.

Respectfully submitted,

BAKER BOTTS L.L.P.

Attorneys for Applicants



Brian W. Oaks  
Reg. No. 44,981

Date: April 20, 2004

**Correspondence Address:**

Baker Botts L.L.P.  
2001 Ross Avenue, 6th Floor  
Dallas, Texas 75201-2980  
(214) 953-6986

**Customer Number                    05073**

PTO-1449	Application No. <b>10/627,548</b>	Applicant(s) <b>Yasuhiko (nmi) Aoki et al.</b>
<b>Information Disclosure Citation In an Application</b>	Docket Number <b>064731.0339</b>	Group Art Unit <b>Unknown</b>
		Filing Date <b>July 25, 2003</b>

**U.S. PATENT DOCUMENTS****BEST AVAILABLE COPY**

	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
A	5,771,112	06/23/1998	Hamel et al.	359	128	06/17/1996
B	5,778,118	07/07/1998	Sridhar	385	24	12/03/1996
C						
D						
E						
F						

**FOREIGN PATENT DOCUMENTS**

	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
G	WO 99/65164	23/16/1999	PCT	H04B	10/213	X	
H							
I							

**NON-PATENT DOCUMENTS**

	DOCUMENT (Including Author, Title, Source, and Pertinent Pages)	DATE
J	Kinoshita et al., "Flexible Twin Open Ring Metro WDM Network," OECC, pp. 713-14	October 2003
K	Tian et al., "OUPSR Protected Flexible Metro WDM Network," ECOC, pp. 826-27	2003
L	Boskovic et al., "Broadcast and Select OADM Nodes Application and Performance Trade-offs," OFC, pp. 158-59	2002
M	Kinoshita et al. "Flexible Metro WDM Network with Photonic Domains", Fujitsu Laboratories of America, Inc., 3 pages	February 24-26, 2004
N	Suzuki et al., "Prototype of 12.5GHz-Spaced Tapped-Type OADM for DWDM Metro Ring Networks," ECOC-IOOC Proceedings - Vol. 4, pp. 902-903	September 21-25, 2003
O	Wolde, J., et al., "Optical Ethernet Metro Access Network Prototype: Implementation and Results," ECOC-IOOC 2003, 3 pages	September 21-25, 2003

EXAMINER	DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.